

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

ANIL MALHOTRA

Appln. No.: 10/600,283

Filed: June 20, 2003

Title: SYSTEM FOR AUTOMATING  
PURCHASE RECOMMENDATIONS

Confirmation No.: TBA

Group Art Unit: TBA

Examiner: TBD

Atty Docket No. 141388.00000  
(old: 13104-1-1)  
Customer ID 25207

## TRANSMITTAL LETTER

MAIL STOP PATENT APPLICATION

P.O. Box 1450

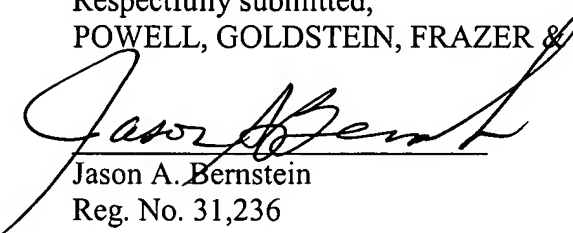
Alexandria, VA 22313-1450

Sir:

Enclosed for filing in the above case are the following documents:

- Information Disclosure Statement
- PTO/SB/08A and PTO/SB/08B
- Copy of two (2) cited References
- Return receipt postcard

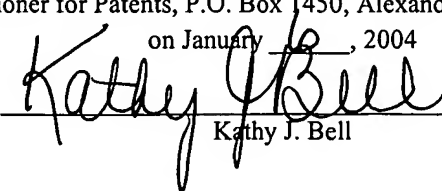
Please date stamp and return the postcard to the undersigned.

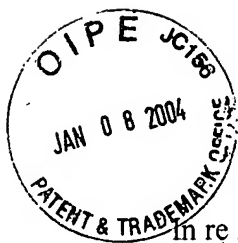
Respectfully submitted,  
POWELL, GOLDSTEIN, FRAZER & MURPHY LLP  
Jason A. Bernstein  
Reg. No. 31,23616<sup>th</sup> Floor  
191 Peachtree Street, NE  
Atlanta, Georgia 30303-1736  
(404) 572-6900  
jbernstein@pgfm.com

---

I hereby certify that this correspondence is being deposited with the United States Postal Service as  
first-class mail in an envelope addressed to: MAIL STOP PATENT APPLICATION,  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on January 16, 2004

  
Kathy J. Bell



Patents

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ANIL MALHOTRA

Appln. No.: 10/600,283

Filed: June 20, 2003

Title: SYSTEM FOR AUTOMATING  
PURCHASE RECOMMENDATIONS

Confirmation No.: TBA

Group Art Unit: TBA

Examiner: TBD

Atty Docket No. 141388.00000  
(old: 13104-1-1)  
Customer ID 25207

INFORMATION DISCLOSURE STATEMENT


Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

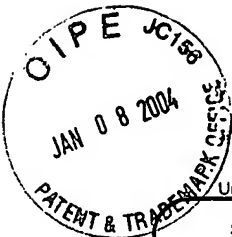
The citation of information on the attached Form PTO/SB/08A and PTO/SB/08B, Information Disclosure Statement by Applicant, is made pursuant to 37 CFR 1.56 and 1.97. A copy of each cited reference is enclosed.

The citation of this information does not constitute either an admission of priority or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,  
POWELL, GOLDSTEIN, FRAZER & MURPHY LLP

  
Jason A. Bernstein  
Reg. No. 31,236

16<sup>th</sup> Floor  
191 Peachtree Street, NE  
Atlanta, Georgia 30303-1736  
(404) 572-6900  
jbernstein@pgfm.com



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Application Number	10/600,283
Filing Date	June 20, 2003
First Named Inventor	Anil Malhotra
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	141388.00000 (old; 13104-1-1)

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,356,879 B2	03-12-2002	Aggarwal et al.	
		US- 6,334,127B1	12-25-2001	Bieganski et al.	
		US- 6,321,221B1	11-20-2001	Bieganski	
		US- 6,321,179B1	11-20-2001	Glance et al.	
		US- 6,317,722B1	11-13-2001	Jacobi et al.	
		US- 6,064,980	05-16-2000	Jacobi et al.	
		US- 5,790,426	08-04-1998	Robinson	
		US- 5,704,017	12-30-1997	Heckerman et al.	
		US- 4,996,642	02-26-1991	Hey	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

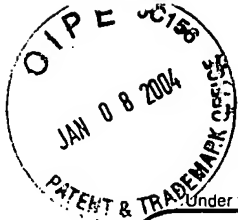
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Applicant Number	10/600,283
		Filing Date	June 20, 2003
		First Named Inventor	Anil Malhotra
		Art Unit	TBA
		Examiner Name	TBA
Sheet 2	of 2	Attorney Docket Number	141388.00000 (old: 13104-1-1)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Nuance Project Method", A Guide to Speech Application Development (www.nuance.com), pages 1-15	
		ArtsConnect Proposal, prepared by Plexus Technologies for Fastball Strategies, Inc., Plexus Technologies Ltd, pages 3-12	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.